

Rec'd PCT/PTO 17 SEP 1996

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 5-93)		ATTORNEY'S DOCKET NUMBER ST94014-US
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, See 37 C.F.R. 1.5) 08/716209
INTERNATIONAL APPLICATION NO. PCT/FR95/00250	INTERNATIONAL FILING DATE 02 March 1995	PRIORITY DATE CLAIMED 18 March 1994
TITLE OF INVENTION RECOMBINANT ADENOVIRUSES CODING FOR BRAIN-DERIVED NEUROTROPHIC FACTOR (BDNF)		
APPLICANT(S) FOR DO/EO/US Laurent PRADIER, Pascal BARNEOUD, Pia DELAERE, Michel PERRICAUDET and Emmanuelle VIGNE		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)), and Power of Attorney, unsigned 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11. to 16. below concern other document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information: Sequence Listing: Paper copy Sequence Listing: CRF Submission of Sequence Listing 		
CERTIFICATION UNDER 37 CFR 1.10		
EH305520964 US		17 September 1996
"Express Mail" Mailing Number		Date of Deposit
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231, Att: EO/US		
Julie K. Smith		<i>Jul KSA</i>
(Type or print name of person mailing paper)		(Signature of person mailing paper)

U.S. APPLICATION NO. (If Known, see C.F.R. 1.5)	INTERNATIONAL APPLICATION NO. PCT/FR95/00250	ATTORNEY'S DOCKET NUMBER ST94014-US																
17. [X] The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO.....\$ 880.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)\$ 680.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$ 750.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1010.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 94.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		CALCULATIONS PTO use only <div style="display: flex; justify-content: space-between;"> \$ 880.00 </div>																
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		<div style="display: flex; justify-content: space-between;"> \$ </div>																
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Claims</th> <th style="width: 25%;">Number Filed</th> <th style="width: 25%;">Number Extra</th> <th style="width: 35%;">Rate</th> </tr> <tr> <td>Total Claims</td> <td>28 -20 =</td> <td>8</td> <td>X \$ 22.00</td> </tr> <tr> <td>Independent Claims</td> <td>4 - 3 =</td> <td>1</td> <td>X \$ 78.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$250.00</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate	Total Claims	28 -20 =	8	X \$ 22.00	Independent Claims	4 - 3 =	1	X \$ 78.00	Multiple dependent claim(s) (if applicable)			+ \$250.00	<div style="display: flex; justify-content: space-between;"> \$ 176.00 </div> <div style="display: flex; justify-content: space-between;"> \$ 78.00 </div> <div style="display: flex; justify-content: space-between;"> \$ </div>	
Claims	Number Filed	Number Extra	Rate															
Total Claims	28 -20 =	8	X \$ 22.00															
Independent Claims	4 - 3 =	1	X \$ 78.00															
Multiple dependent claim(s) (if applicable)			+ \$250.00															
TOTAL OF ABOVE CALCULATIONS =		<div style="display: flex; justify-content: space-between;"> \$ 1134.00 </div>																
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		<div style="display: flex; justify-content: space-between;"> \$ </div>																
SUBTOTAL =		<div style="display: flex; justify-content: space-between;"> \$ 1134.00 </div>																
Processing fee of \$130.00 for furnishing the English translation later the [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		<div style="display: flex; justify-content: space-between;"> \$ </div>																
TOTAL NATIONAL FEE =		<div style="display: flex; justify-content: space-between;"> \$ 1134.00 </div>																
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		<div style="display: flex; justify-content: space-between;"> \$ </div>																
TOTAL FEES ENCLOSED =		<div style="display: flex; justify-content: space-between;"> \$ 1134.00 </div>																
		<div style="display: flex; justify-content: space-between;"> Amount to be: refunded \$ </div> <div style="display: flex; justify-content: space-between;"> charged \$ </div>																
a. [] A check in the amount of \$_____ to cover the above fee is enclosed. b. [X] Please charge my Deposit Account No. <u>18-1982</u> in the amount of \$ <u>1134.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-1982</u> . A duplicate copy of this sheet is enclosed.																		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																		
SEND ALL CORRESPONDENCE TO: Julie K. Smith, Ph.D. Rhone-Poulenc Rorer Inc. Legal-Patents, #3C43 P.O. Box 5093 Collegeville, PA 19426-0997 Telephone: (610) 454-3839 Facsimile: (610) 454-3808	<div style="border-bottom: 1px solid black; margin-bottom: 5px;"> Signature Jul K SA </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> Julie K. Smith </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> Name </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> 38,619 </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> Registration Number </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> 17 September 1996 </div> <div style="border-bottom: 1px solid black;"> Date </div>																	